

### REMARKS

This Preliminary Amendment cancels, without prejudice, original claims 1-6 in the underlying PCT Application No. PCT/DE03/04040 and adds new claims 7-12. The new claims, inter alia, conform the claims to United States Patent and Trademark Office rules and do not add any new matter to the application.

In accordance with 37 C.F.R. § 1.125(b), the Substitute Specification (including the Abstract) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to United States Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. §§ 1.121(b)(3)(ii) and 1.125(c), a Marked-Up Version of the Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

The underlying PCT Application No. PCT/DE03/04040 includes an International Search Report, dated March 24, 2004, a copy of which is included. The Search Report includes a list of documents that were considered by the Examiner in the underlying PCT application.

Applicant asserts that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully Submitted,

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## INFRARED SOURCE AND GAS SENSOR

### Background Information

~~The present invention relates to an infrared source and to a gas sensor according to the definition of the species set forth in the coordinated independent claims. Devices~~  
are known for the detection of radiation signals which may be used, for  
5 example, to determine the gas concentration, using infrared absorption.

Interfering gases in a gas volume, such as in the passenger compartment of a motor vehicle, are, for example, CO<sub>2</sub>, CO, H<sub>2</sub>O or even CH<sub>4</sub>, the carbon dioxide CO<sub>2</sub> originating from especially the air breathed by people located in the gas volume and also from CO<sub>2</sub> air conditioning systems. Such interfering

10 gases are detected by generally known gas detectors. Such detectors for the selection of gases, based on the principle of radiation absorption in the measured gas, are usually made up of a radiation source and one or more wavelength-specific radiation detectors. For sensors which measure the

15 absorption in the medium infrared range, such as in the range of wavelengths of 3 μm to 25 μm, such as sensors for the detection of carbon dioxide CO<sub>2</sub>, usually thermal radiators are used as the source. In this connection, there are infrared sources in the form of incandescent lamps or in the form of

microstructured devices that function as thermal radiators. Wavelength-specific radiation detectors are made up of a filter unit which transmits the wavelength  
20 to be measured and a broad-band infrared detector. Interference filters are used in this connection, for example. Simple interference filters have the property of also transmitting harmonics of the desired wavelength. This may be

rectified, first, by a more complicated and, thus, more cost-intensive construction of the interference filter, or also by putting a simple broadband  
25 filter before the actual detector. If such a broadband filter is used, this conditions a costly construction of the detector, since in that case two filters have to be mounted.

### Summary of the Of The Invention

30 ~~By contrast, the~~The infrared source and the gas sensor according to the present invention ~~and the gas sensor having the features of the alternative independent~~

claims have the advantage that no additional assembly effort is necessary in the production of the sensor, and, all the same, a broadband filter is provided between the infrared source and the infrared detector. It is thus advantageously possible that the radiation emitted by the infrared source is emitted only in the range of the operating frequency range, and thus the dissipation of energy in other regions of the gas sensor will also be reduced. Thereby it is possible that the main heat development is only in the infrared source. The heat development may, in this context, be removed from the detector side. In addition, it is thereby possible according to the present invention, that only radiation in the narrow operating frequency range, about the wavelength of the interference filter, reaches in the direction of the detector at all.

~~Advantageous refinements and improvements of the infrared source and the gas sensor specified in the alternative independent claims are made possible by the measures specified in the dependent claims. It is especially advantageous that the first transmission characteristics with respect to the operating frequency range provides a higher transmission for shorter wavelengths, and that the second transmission characteristics with respect to the operating frequency range provides a higher transmission for greater (longer) wavelengths. Thereby it is possible in a simple manner to bring about bandpass filter characteristics for an operating frequency range. In addition, it is of advantage that, as the first layer, glass is provided and that, as the second layer, silicon or germanium is provided. In this context, it is possible to provide the bandpass characteristics according to the present invention using simple methods and materials, in the case of the glass layer, the material in the case of a usual infrared source already being available.~~

#### Brief Description of the Of The Drawings

~~Exemplary embodiments of the present invention are represented in the drawings and are explained in detail in the following description. The figures show:~~  
 Fig. 1 Figure 1 shows a usual infrared source in the form of an incandescent lamp.

~~Fig. 2~~ Figure 2 shows a usual infrared source in the form of a micromechanical infrared radiator<sub>7,2</sub>

~~Fig. 3~~ Figure 3 shows the transmission curve of a customary interference filter<sub>7,2</sub>

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~~Fig. 4~~ Figure 4 shows the filter transmission curve and the transmission characteristics of the radiation emitted by an infrared source according to the present invention<sub>7,2</sub>

10 ~~Fig. 5~~ Figure 5 shows an infrared source according to the present invention<sub>7,2</sub>

~~Fig. 6~~ Figure 6 shows the transmission characteristics of a first layer<sub>7,2</sub>

~~Fig. 7~~ Figure 7 shows the transmission characteristics of a second layer<sub>7,2</sub>

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~~Fig. 8~~ Figure 8 shows the combination of the transmission characteristics with a filter transmission curve ~~and~~

~~Fig. 9~~ Figure 9 shows the fundamental construction of the gas sensor according to the present invention.

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#### Detailed Description of the Exemplary Embodiments

Figure 9 shows the fundamental construction of a gas sensor according to the present invention. Starting from an infrared source designated by reference

25 numeral 10, which bears a first layer 102 and a second layer 103, an infrared radiation is emitted that is designated by reference numeral 21 and an arrow, in a direction towards a detector 30. In the region between infrared source 10 and detector 30, a gas is present that is provided with reference numeral 20.

The gas includes gas proportions whose concentration is to be measured using the gas sensor. Carbon dioxide CO<sub>2</sub>, for example, comes into consideration as such a gas proportion. Detector device 30 includes an infrared detector 31 and a filter 32, which are fixed relatively to each other by a holding device 33. Filter 32<sub>32</sub> according to the present invention only lets through a wavelength that corresponds to the absorption wavelength of the measured gas to be detected

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in gas 20, such as CO<sub>2</sub>. From the degree of attenuation of infrared radiation 21, starting from the infrared source, for the measured wavelengths or detected wavelengths, the gas concentration of the gas to be measured, such as CO<sub>2</sub> in gas 20 may be derived. For this, it makes sense, according to the present invention, that, besides a device shown in Figure 9 of a gas sensor, a reference device is provided at the same time, in which a filter is situated in front of a similar infrared detector, which lets through a greater wavelength range, so that hereby a reference measurement is carried out.

10 In the gas sensor shown in Figure 9, filter 32 is provided, in particular, as an interference filter. For instance, filter 32 may be provided as a Fabry-Perot filter. Such filters have the property that, besides the desired pass frequency or the desired pass wavelength, additional pass frequencies or desired pass wavelengths occur. The desired pass frequency in this case corresponds to the  
15 absorption frequency of the substance in gas 20, whose concentration is to be measured. The additional pass frequencies or pass wavelengths of filter 32 are especially the harmonics of the desired pass wavelength. According to the present invention, it is prevented, using simple means, that undesired pass frequencies of filter 32 reach the region of infrared detector 31. To do this,  
20 according to the present invention, it is provided, in the case of considerable portions of infrared radiation 21 at these undesired pass frequencies, to hinder their further spreading in the direction of filter 32 or infrared detector 31, already shortly after their creation, i.e. at source 10. A device in which such a filtering out of undesired pass frequencies takes place immediately before or  
25 after filter 32, in this context is avoided according to the present invention, because this is connected with high costs and great expenditure with respect to the construction of detector 30. Therefore, according to the present invention, infrared source 10 is changed in such a way that only infrared radiation 21 is able to exit in a tight range about the desired pass frequency or  
30 pass wavelength of interference filter 32.

Figure 1 shows a usual infrared source 10 for an infrared radiator in the form of an incandescent lamp. In this, a coiled filament that is heated to an appropriate temperature produces a radiation that also includes infrared proportions.

According to the related art, the coiled filament is provided with an enclosing glass element.

Figure 2 shows a usual infrared source 11 in the form of a micromechanical infrared radiator. Micromechanical infrared source 11 includes a substrate 111, on which, especially, a diaphragm or a diaphragm region 112, and in diaphragm region 112 a heating structure 113 is provided. Heating structure 113 also designated as heating device 113 is (electrically) accessible or controllable using connecting areas marked with reference numerals 114, and not especially using connecting lines from outside micromechanical infrared source 11. In a manner similar to heating coil 1 in the usual infrared source according to Figure 1, heating device 113 is heated up by an electric current flowing through it, and thereby generates the radiation, which also has infrared proportions.

Figure 3 illustrates the transmission curve of a usual interference filter. On the abscissa denoted by reference numeral 50, the wavelength is shown, and on the ordinate marked by reference numeral 70, the degree of transmission is shown. A first degree of transmission of 100%, indicated by reference numeral 71, is reached only by particular wavelengths. Transmission curve 600 demonstrates maxima in the case of such particular wavelengths. Thus it breaks down into a number of transmission sub-curves centered about certain wavelengths, of which only two are shown in Figure 3, namely, a first filter transmission sub-curve 610 and a second filter transmission sub-curve 620. First filter transmission sub-curve 610 is centered about the first transmission wavelength of the filter, which corresponds to the eleventh wavelength 61. Second filter transmission sub-curve 620 is centered about a twelfth wavelength, which is provided with reference numeral 62. Eleventh and twelfth wavelengths 61, 62 come about from twice the optical thickness of the material of the interference filter divided by an integer, the integer being able to assume the values 1, 2, etc.

Figure 4 shows the filter transmission curve and the transmission characteristics of the radiation emitted by an infrared source 10 according to

the present invention. On the abscissa denoted by reference numeral 50, once again the wavelength is shown, and on the ordinate marked by reference numeral 70, the degree of transmission in % is shown. The characteristics of radiation radiated by a source according to the present invention is denoted by reference numeral 500 and a dashed line. This only assumes significant values in a range that includes only a maximum of the filter transmission curve. The whole filter transmission curve is shown in Figure 4 in the light of several filter transmission sub-curves corresponding to eleventh wavelength 61, to twelfth wavelength 62, to a thirteenth wavelength 63, to a fourteenth wavelength 64 and to a fifteenth wavelength 65. The entire filter transmission curve 600, reference numeral 600 not being shown in Figure 4, includes first filter transmission sub-curve 610 to eleventh wavelength 61, second filter transmission sub-curve 620 to twelfth wavelength 62, third filter transmission sub-curve 630 to thirteenth wavelength 63, a fourth filter transmission sub-curve 640 to fourteenth wavelength 64, and a fifteenth filter transmission sub-curve 650 to fifteenth wavelength 65. Once again, the maximum of the transmission of 100% is designated by the first degree of transmission 71. In Figure 4, eleventh wavelength 61 is plotted in approximately the medium range of abscissa 50 shown, that is, the axis of the wavelengths. To the right and the left thereof, i.e. in the direction of the greater wavelengths and in the direction of the shorter wavelengths, the closest maxima of filter transmission curve 600 are at twelfth wavelength 62 and at fourteenth wavelength 64. About eleventh wavelength 61, characteristics 500 of the radiation radiated by infrared source 10 according to the present invention are shown, in the lower part of Figure 4 the region of the operating frequencies or the operating wavelengths marked by a double arrow and reference numeral 501 agreeing approximately with the region of significant values of characteristics 500 of the radiation radiated by infrared source 10 according to the present invention.

An infrared source 10 according to the present invention is shown in Figure 5. In this connection, for example, infrared source 10 according to the present invention is provided as an incandescent lamp having a coiled filament 101 and connecting wires 104. Around coiled filament 101 there is a lamp glass element 102, made of glass, which acts as a first layer 102. An additional

absorption layer 103 applied to this lamp glass element 102 is designated as the second layer. In this connection, in the first place, the absorption characteristics of the lamp glass 102, and in the second place the absorption characteristics of the additionally applied coating 103 of lamp glass 102 are used. The advantage of this construction lies in the utilization of lamp glass element 102 as a part of the absorption filter, to wit, as first layer 102 and additionally as carrier for an additional absorption filter layer 103, i.e. of second layer 103. For use in a CO<sub>2</sub> sensor which works, for example, based on an absorption measurement at a wavelength of 4.3  $\mu\text{m}$ , typically a lamp glass is used which demonstrates a transmission up to a wavelength of ca 5  $\mu\text{m}$ . These absorption characteristics have the effect that the longer-wave harmonics of interference filter 32 no longer count at detector 30, because they are absorbed by first layer 102, i.e. in this case, by lamp glass element 102. Consequently, the shorter wave components of the light radiated by filament 101 have to be filtered out in order to arrive at bandwidth-limited transmission characteristics 500 of the combination of the first and second layers 102, 103, as shown in Figure 4. For this, semiconductor materials, for example, such as silicon or germanium are suitable, which are able to be vapor deposited as second layer 103 onto the surface of first layer 102, i.e. onto the surface of glass element 102 of the incandescent lamp. Besides semiconductor materials, however, metal layers or organic absorption layers may also be applied to the surface of first layer 102.

Figure 6 shows the transmission characteristics 510 of first layer 102. In a diagram whose abscissa 50 represents a wavelength scale and whose ordinate 70 shows the degree of transmission of first layer 102, transmission characteristics 510 of first layer 102 are shown as the so-called first transmission characteristics 510. At a third wavelength 53; which is at about 2.5  $\mu\text{m}$ , a second transmission rate 72 of about 90% comes about. Transmission 70 drops off towards greater wavelengths, at a second wavelength of about 4  $\mu\text{m}$  a local maximum of the transmission characteristics of about 60% transmission coming about, and in the direction of greater wavelengths a relatively severe drop-off in transmission being



observable, and at a sixth wavelength 56 of ca 5  $\mu\text{m}$  transmission being close to 0. The transmission remains near 0% for greater wavelengths than sixth wavelength 56, and for shorter wavelengths than wavelength 53 it remains approximately at the level of second transmission rate 72 of ca 90%.

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Figure 7 shows transmission characteristics 520 and 521 of second layer 103. Once again, a diagram is selected whose abscissa 50 represents a wavelength scale, and whose ordinate 70 represents a scale of the transmission rate. Two transmission characteristics are shown in Figure 7, both of them being designated as so-called second transmission characteristics. Second transmission characteristics marked by reference numeral 520 corresponds to transmission characteristics of a second layer 103 in the form of a germanium layer, and second transmission characteristics marked by reference numeral 521 shows the transmission characteristics for the case that second layer 103 is provided as a silicon layer. However, the shape of second transmission characteristics 520, 521 is similar in both cases: From a certain wavelength on, the transmission rate rises from about 0 to a maximum value of 90% or 100%, and remains essentially at this high transmission level up to a greater wavelength, at which a strong falling off in the transmission rate may be observed. For the second transmission characteristics (for germanium) designated by reference numeral 520, the transmission strongly increases beginning at a fourth wavelength of ca 1.7  $\mu\text{m}$ , so as to drop off again at wavelengths beginning at an eighth wavelength 58 of over 20  $\mu\text{m}$ . In the case of the second transmission characteristics (for silicon) designated by reference numeral 521, the transmission begins, already at a fifth wavelength 55 of clearly less than 1.7  $\mu\text{m}$ , to clearly rise in order to remain on a high transmission level, until the transmission once more assumes lower values at a seventh wavelength 57 at ca 10  $\mu\text{m}$ .

In Figure 8, in an additional diagram, the combination is shown of the first and second transmission characteristics together with a filter transmission curve of the interference filter. In the diagram, once more, abscissa 50 forms a wavelength scale, and ordinate 70 forms a scale of the transmission rate. First transmission characteristics 510 are shown in Figure 8 using a dash-dotted line, and it corresponds to the transmission characteristics for glass, shown in Figure 6, below

third wavelength 53, i.e. for wavelengths shorter than third wavelength 53, the transmission level being very high, between third wavelength 53 and sixth wavelength 56 a drop in the transmission to nearly 0 of first transmission characteristics 510 being shown. Second transmission characteristics 520 is shown in Figure 8 by a dashed line and strongly rises above fourth wavelength 54, i.e. for greater wavelengths than the fourth wavelength, so as then to persevere above values of sixth wavelength 56 at this high transmission value. A superimposition of the two transmission characteristics yields the band filter characteristics according to the present invention that is designated in Figure 8 by reference numeral 500, and which includes the two falling off or rising branches of first and second transmission characteristics 510, 520. Such bandpass filter characteristics 500 come about by the superimposition, shown in Figure 5, of first layer 102 and second layer 103 about infrared source 10. The superimposition in Figure 8 of bandpass filter characteristics 500 by filter transmission curve 600 of interference filter 32 shows that the operating frequency range, that is indicated in Figure 8 by reference numeral 501 and a double arrow includes only a single pass frequency of interference filter 32. This pass frequency corresponds to eleventh wavelength 61, about which first filter transmission sub-curve 610 is situated. The additional or adjacent filter transmission sub-curves 620, 640, which are located at twelfth and fourteenth wavelengths 62, 64, lie outside operating frequency range 501.

Abstract Of The Disclosure

An infrared source (10) and a gas sensor are proposed, a first layer (102) and a second layer (103) effecting bandpass filter characteristics (500) for an operating frequency range (501).